

Social and Environmental Screening Template (2021 SESP Template, Version 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	Contribución al diseño del Plan de Ordenamiento Territorial POT del municipio de Mosquera
2. Project Number (i.e. Atlas project ID, PIMS+)	00135251
3. Location (Global/Region/Country)	Colombia
4. Project stage (Design or Implementation)	Diseño
5. Date	13 de abril de 2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

El proyecto Plan de Ordenamiento Territorial (POT) busca fortalecer capacidades de actores comunitarios e institucionales en el desarrollo de procesos de planificación y gestión de su territorio y promover así el desarrollo humano sostenible, con un enfoque en derechos humanos. En tal sentido, el proyecto moviliza recursos del territorio en torno de sus programas y procesos, en los que involucra a su población partiendo del supuesto de que las personas son agentes principales de su propio desarrollo, en lugar de receptores pasivos de productos y servicios. En tal entendido, la participación es a la vez un medio y un objetivo.

El proyecto vincula múltiples grupos de interés, entre ellos, las capacidades de instituciones públicas como principal garante de derechos y el papel de otros agentes no estatales como las organizaciones de la sociedad civil organizada, la academia, el sector privado y ciudadanía no organizada por lo que promueve el desarrollo y el mantenimiento de alianzas estratégicas.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

- *Integración transversal de un enfoque de género en todas las actividades del proyecto.*
- *Al inicio del proyecto, se hará un diagnóstico territorial del municipio para identificar las brechas más relevantes y definir temas prioritarios según el contexto. Este análisis va a incluir un enfoque específico en temas de género.*

Briefly describe in the space below how the project mainstreams sustainability and resilience

- *Integración transversal de la sostenibilidad ambiental del territorio desde una visión ecosistémica en todas las actividades del proyecto.*
- *Al inicio del proyecto, se hará un diagnóstico territorial del municipio, en el que se identificarán las brechas más relevantes y definirán temas prioritarios según el contexto. Este análisis va a incluir un enfoque específico en la dimensión ambiental.*

- El proyecto construirá la visión compartida del territorio y el diagnóstico territorial, en el que incluirá recomendaciones para la sostenibilidad ambiental en el marco de la atención de vulneraciones y amenazas físicas y funcionales presentes en el municipio y a considerar en el diseño del POT municipal.

Se profundizará en temáticas estratégicas de la gestión del territorio. Para lo que el proyecto desarrollará estudios especializados en el que se abordará el desarrollo sostenible del municipio en el marco de su POT.

Briefly describe in the space below how the project strengthens accountability to stakeholders

El Programa en todo su ciclo de gestión propiciará espacios de rendición de cuentas ante las comunidades y las autoridades del territorio sobre los recursos y las formas de ejecución de la inversión de las actividades. Lo anterior, permitirá que desde el territorio se adelante un proceso de control social desde las comunidades que contribuye a incrementar sus mecanismos de participación en los escenarios de planeación territorial.

El proyecto genera insumos claves para la rendición de cuentas, acorde con las necesidades y requerimientos de la Alcaldía municipal de Mosquera, la Universidad EAN, academia, comunidad civil, entre otros actores.

Part B. Identifying and Managing Social and Environmental Risks




QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i>	QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i>			QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High
Risk Description (broken down by event, cause, impact)	Impact and Likelihood (1-5)	Significance (Low, Moderate, Substantial, High)	Comments (optional)	Description of assessment and management measures for risks rated as Moderate, Substantial or High
Riesgo 1: Hay actividades de protesta y oposición generadas por visiones diversas de desarrollo territorial en el municipio.	I = 3 P = 2	moderada	El proyecto promoverá espacios de conversación, dialogo y concertación alrededor de estas visiones para la construcción de una visión compartida de desarrollo en el territorio.	El proyecto va a contar con un equipo de proyecto en terreno, que tiene relacionamiento cercano con todos los actores en el territorio. El jefe de proyecto será responsable de monitorear la evolución del contexto.
Riesgo 2: Las actividades del proyecto y el trabajo de diagnóstico y valoración territorial crea tensiones entre diferentes sectores del territorio.	I = 3 P = 2	moderada	El proyecto implementará procesos de investigación y estudio sobre las realidades de los sectores y sus interrelaciones con el desarrollo territorial.	El proyecto hace en su fase inicial un mapeo de actores, una evaluación de conflictividades y un diagnóstico enmarcado en los ODS. Los procesos de investigación y estudios se realizarán de forma concertada con los sectores e implementarán estrategias de comunicación que permitan la difusión de sus acciones y resultados.
Riesgo 3: Fragilidad institucional en lo local que se expresa en la escasa o poca capacidad de respuesta de los municipios en las diferentes líneas de acción.	I = 3 P = 1	Baja	Acompañamiento técnico de la gerencia del proyecto a la alcaldía municipal para el apoyo de las actividades ejecutar.	El proyecto implementará medidas de correctiva so se presenta este riesgo. Igualmente se realizará el seguimiento a la matriz de riesgos para garantizar la oportuna acción de mitigación.
[add additional rows as needed]				

QUESTION 4: What is the overall project risk categorization?			
Low Risk	<input checked="" type="checkbox"/>	Existen los riesgos identificados en la sección anterior, los cuales pueden ser mitigados con una estrategia de seguimiento y análisis de contexto para su mitigación.	
Moderate Risk	<input type="checkbox"/>		
Substantial Risk	<input type="checkbox"/>		
High Risk	<input type="checkbox"/>		
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)			
Question only required for Moderate, Substantial and High Risk projects			
<u>Is assessment required? (check if "yes")</u>	<input type="checkbox"/>		Status? (completed, planned)
<i>if yes, indicate overall type and status</i>		<input type="checkbox"/>	Targeted assessment(s)
		<input type="checkbox"/>	ESIA (Environmental and Social Impact Assessment)
		<input type="checkbox"/>	SESA (Strategic Environmental and Social Assessment)
<u>Are management plans required? (check if "yes")</u>	<input type="checkbox"/>		
<i>if yes, indicate overall type</i>		<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)
		<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)
		<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)
<u>Based on identified risks, which Principles/Project-level Standards triggered?</u>		Comments (not required)	
<u>Overarching Principle: Leave No One Behind</u>			
<u>Human Rights</u>	<input type="checkbox"/>		
<u>Gender Equality and Women's Empowerment</u>	<input checked="" type="checkbox"/>		
<u>Accountability</u>	<input checked="" type="checkbox"/>		
<u>1. Biodiversity Conservation and Sustainable Natural Resource Management</u>	<input checked="" type="checkbox"/>		
<u>2. Climate Change and Disaster Risks</u>	<input checked="" type="checkbox"/>		
<u>3. Community Health, Safety and Security</u>	<input checked="" type="checkbox"/>		

	4. Cultural Heritage	<input checked="" type="checkbox"/>	
	5. Displacement and Resettlement	<input checked="" type="checkbox"/>	
	6. Indigenous Peoples	<input checked="" type="checkbox"/>	
	7. Labour and Working Conditions	<input type="checkbox"/>	
	8. Pollution Prevention and Resource Efficiency	<input checked="" type="checkbox"/>	

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
Asesor de certificación de calidad (QA) Blanca Cardona 	14/04/2021	Funcionario del PNUD responsable del proyecto; normalmente es un oficial de programa del PNUD. Su firma final confirma que ha "verificado" para garantizar que el SESP se ha ejecutado correctamente.
Aprobador de la garantía de calidad (QA) Alejandro Pacheco 	28-Jul-2021	Director superior del PNUD, normalmente el Director Adjunto para el País (DCD), Director para el País (CD), Representante Residente Adjunto (DRR) o Representante Residente (RR). Este funcionario no puede ser el mismo que el Asesor en asuntos de QA. La firma final confirma que han "visado" el SESP antes de enviarlo al PAC.
Presidente del PAC Alejandro Pacheco 	28-Jul-2021	Presidente del PAC de parte del PNUD. En algunos casos, también puede ser el Oficial de aprobador de QA. La firma final confirma que el SESP se consideró parte de la evaluación del proyecto y tomado en cuenta en las recomendaciones del PAC.

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.		
Overarching Principle: Leave No One Behind		Answer (Yes/No)
Human Rights		
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	No
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	No
<i>Would the project potentially involve or lead to:</i>		No
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹	No
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	No
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	No
Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		

¹ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Accountability		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	No
P.14	grievances or objections from potentially affected stakeholders?	No
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	No
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	No
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	No
1.5	exacerbation of illegal wildlife trade?	No
1.6	introduction of invasive alien species?	No
1.7	adverse impacts on soils?	No
1.8	harvesting of natural forests, plantation development, or reforestation?	No
1.9	significant agricultural production?	No
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	No
1.12	handling or utilization of genetically modified organisms/living modified organisms ²	No
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ³	No
1.14	adverse transboundary or global environmental concerns?	No
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		
2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	No
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters?	No

² See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

³ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

	<i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	No
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	No
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7	influx of project workers to project areas?	No
3.8	engagement of security personnel to protect facilities and property or to support project activities?	No
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	No
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4	alterations to landscapes and natural features with cultural significance?	No
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No
5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No

5.3	risk of forced evictions? ⁴	No
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)?	No
6.2	activities located on lands and territories claimed by indigenous peoples?	No
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is "yes", then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i>	No
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	No
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8	risks to the physical and cultural survival of indigenous peoples?	No
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	No
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	No
7.2	working conditions that may deny freedom of association and collective bargaining?	No
7.3	use of child labour?	No
7.4	use of forced labour?	No
7.5	discriminatory working conditions and/or lack of equal opportunity?	No
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	No
Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		

⁴ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2	the generation of waste (both hazardous and non-hazardous)?	No
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	No
8.5	the application of pesticides that may have a negative effect on the environment or human health?	No
8.6	significant consumption of raw materials, energy, and/or water?	No